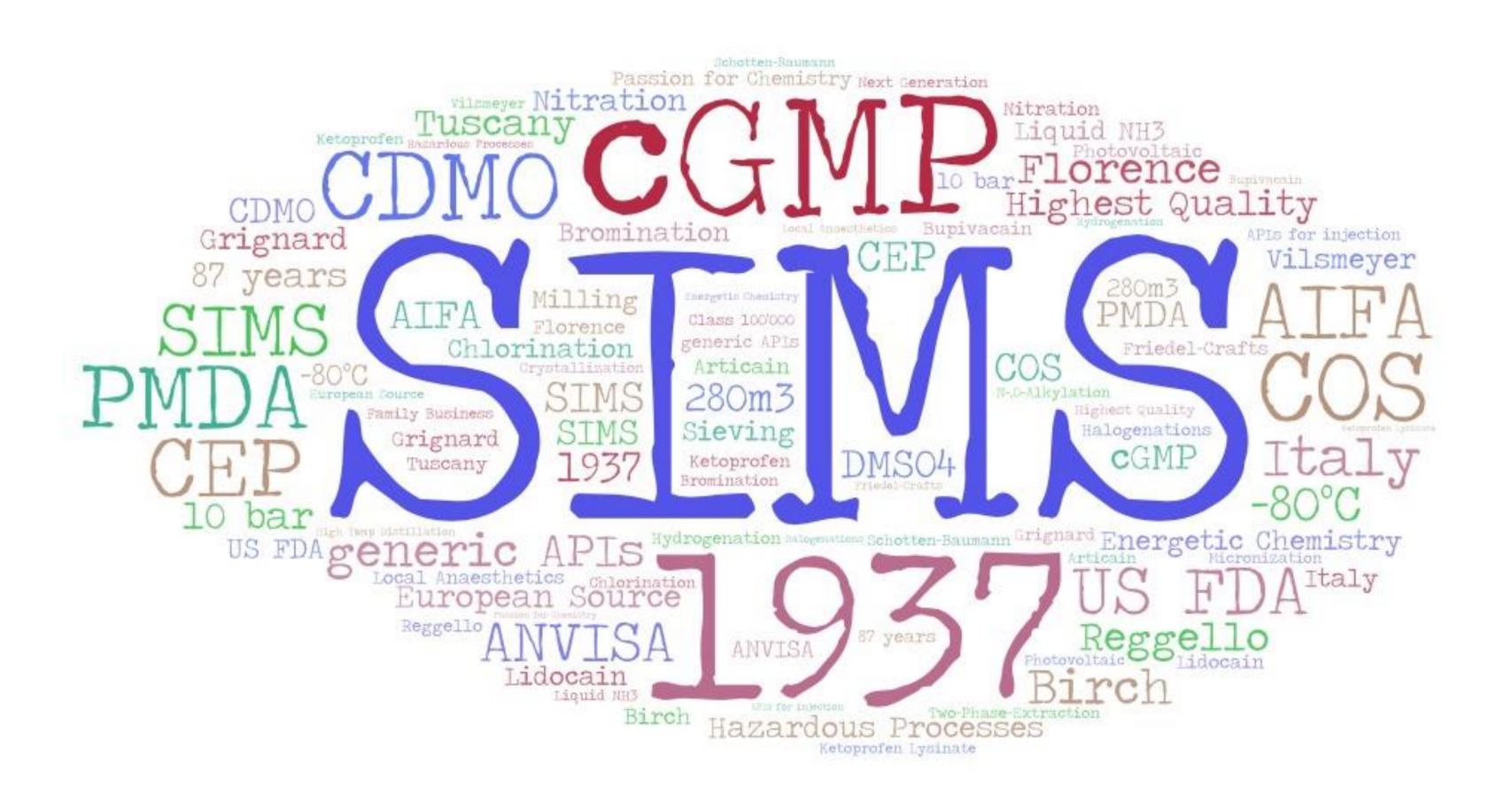
SIMS

"Long Tradition Meets Strong Technology"





June 2024 www.simsitaly.it



SIMS **Our CDMO Services**

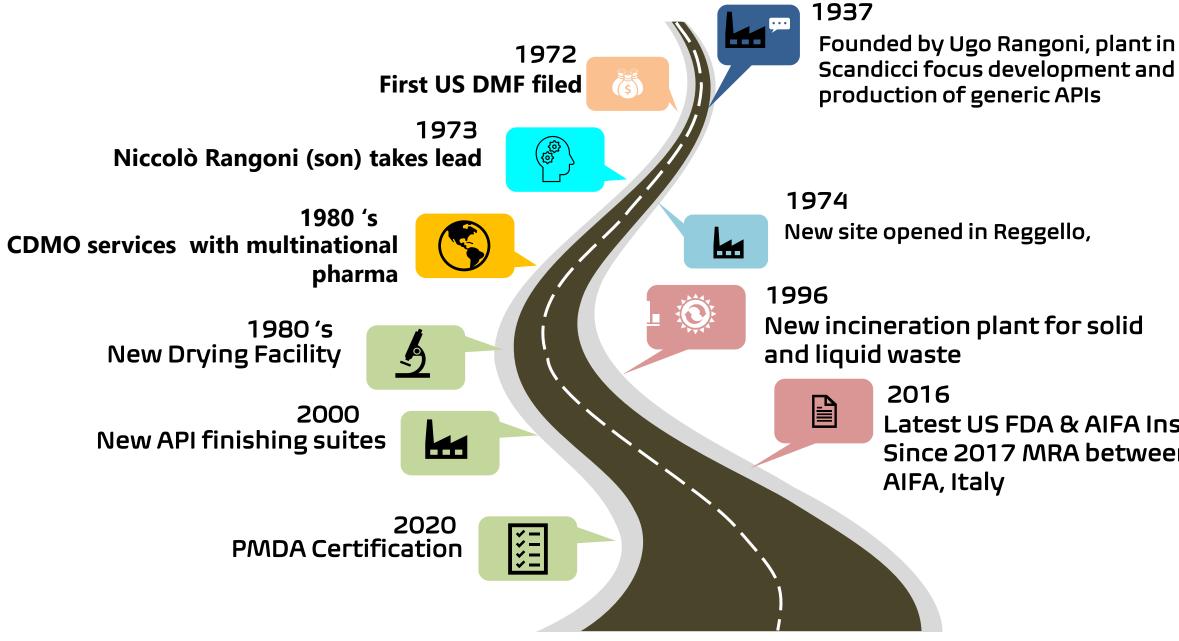
With over 85 years of experience in Fine Chemicals, our chemical toolbox is different from most providers. Hazardous reactions under cGMP are our daily business. With our skills in API life cycle management and a sound list of APIs, we use this know-how for your products. Family owned, we make fast decisions and are totally customer oriented.





History

S.I.M.S. where Technology meets Tradition



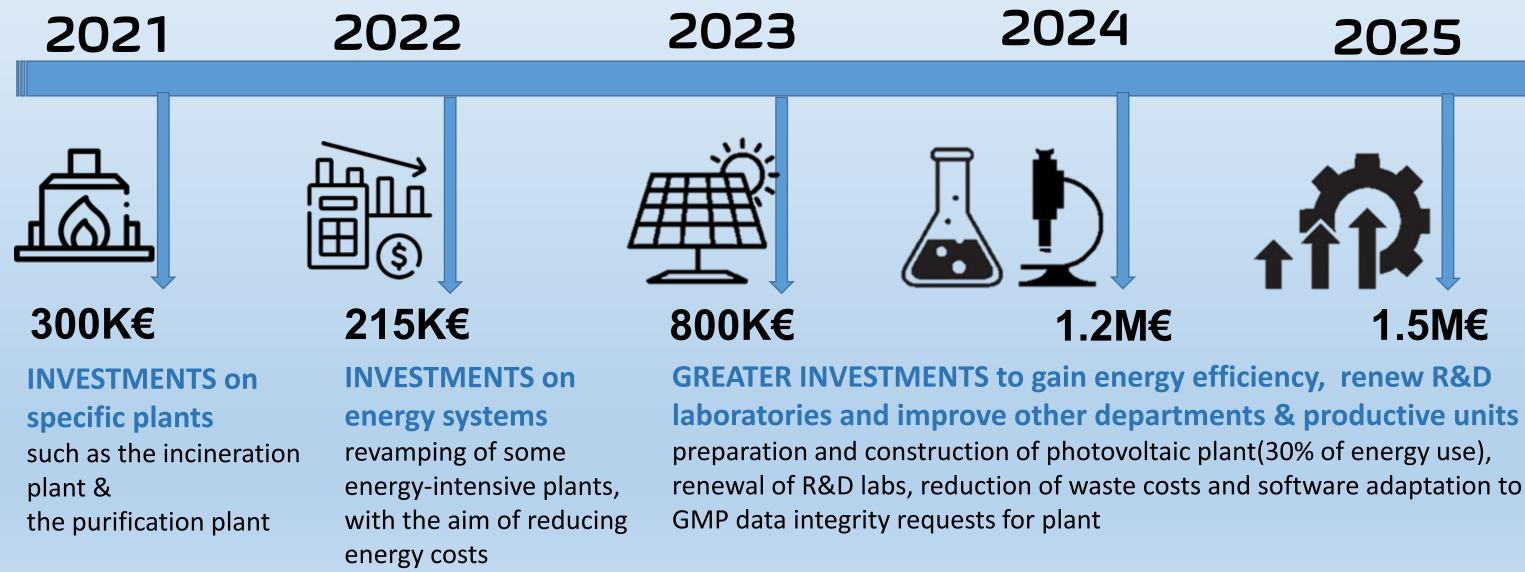
Latest US FDA & AIFA Inspections (2022) Since 2017 MRA between US FDA and



SIMS INVESTMENTS OVERVIEW

5 years goals: energy efficiency, new laboratories, new technologies, sustainability, growth

1 year



1 уеаг



2025

1.5M€



New Photovoltaic Plant on SIMS' Roofs 2024 on stream



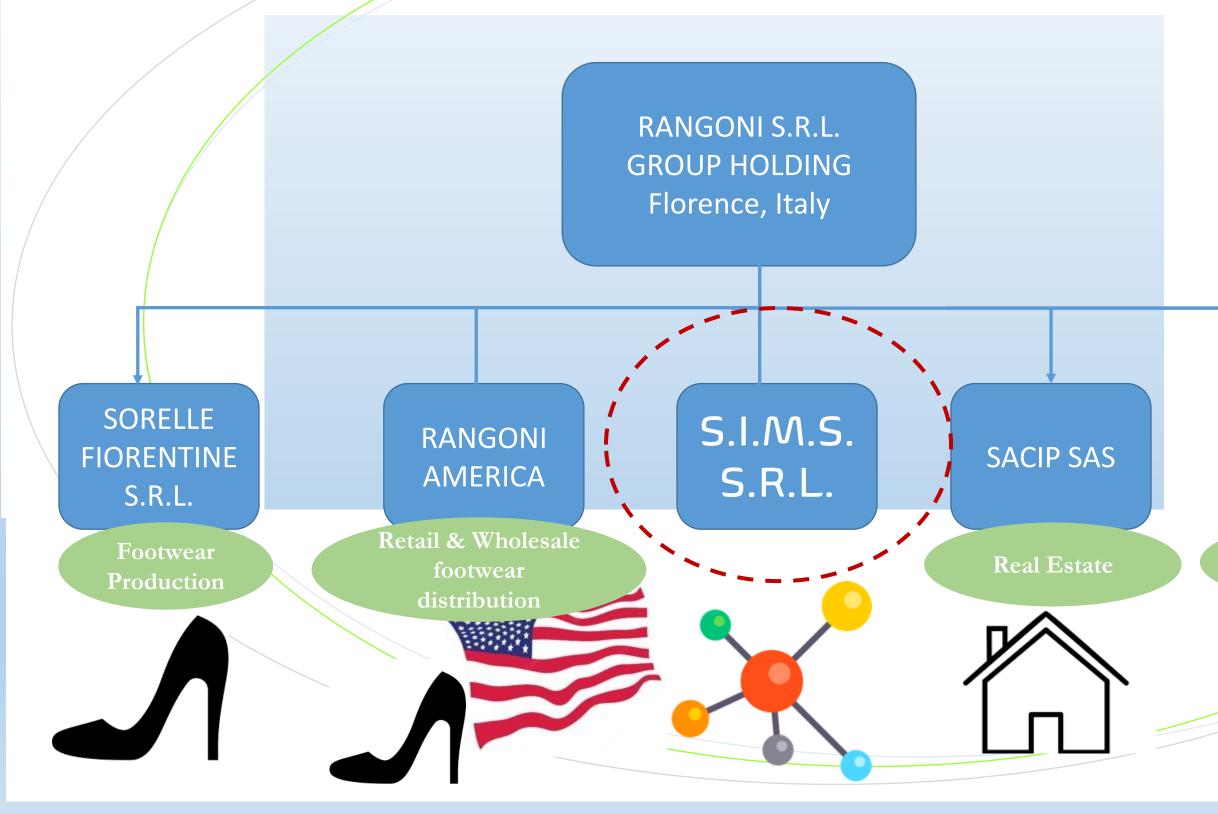


INTRODUCTION At a glance

- Corporate Info
- History
- Certifications
- Technology & Strengths
- PR&D
- Production plants
- EHS



THE RANGONI GROUP



MINIERE DI FIZZANO

Beach Club

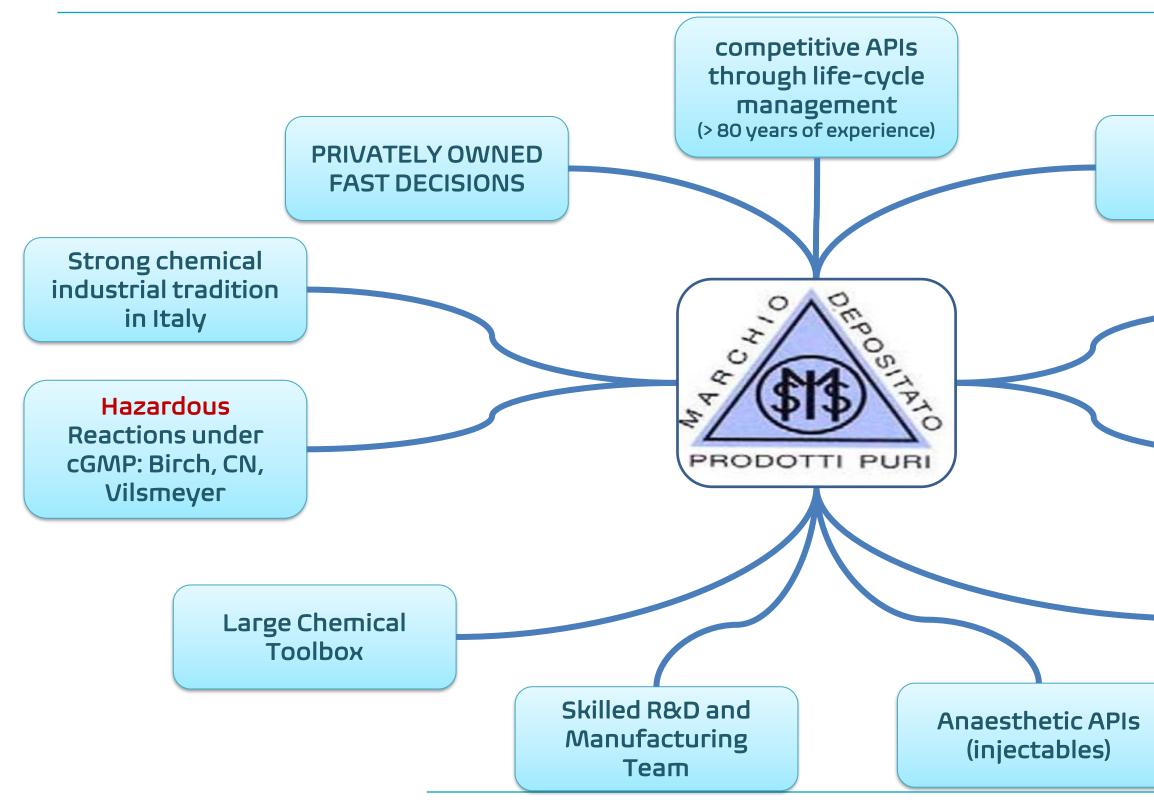


AZIENDA AGRICOLA LE FALLE

> Wine & Oil Production



Why SIMS?





Distillation: Thin layer Liquid-liquid

Small and medium Volumes

Stable & Reliable Partner



LOCATION

Located 30 km South East of Florence, Italy

Opened in 1974, operation was moved from the original site

<u>By train:</u>

Fast train 300 km/hr from Milan Central Station 2 hours

Local train from Florence Main Station to Rignano sull'Arno in 30 minutes

<u>By car:</u> 30 min from the airport 25 min from central Florence

<u>By flight:</u> From any main airport in Europe to Florence Airport



SOME FIGURES

<u>Sales 2021:</u> € 11.8 Mio <u>Sales 2022:</u> € 12.7 Mio <u>Sales 2023:</u> € 16.2 Mio

- Generic API's: 75% - CDMO: 25 %

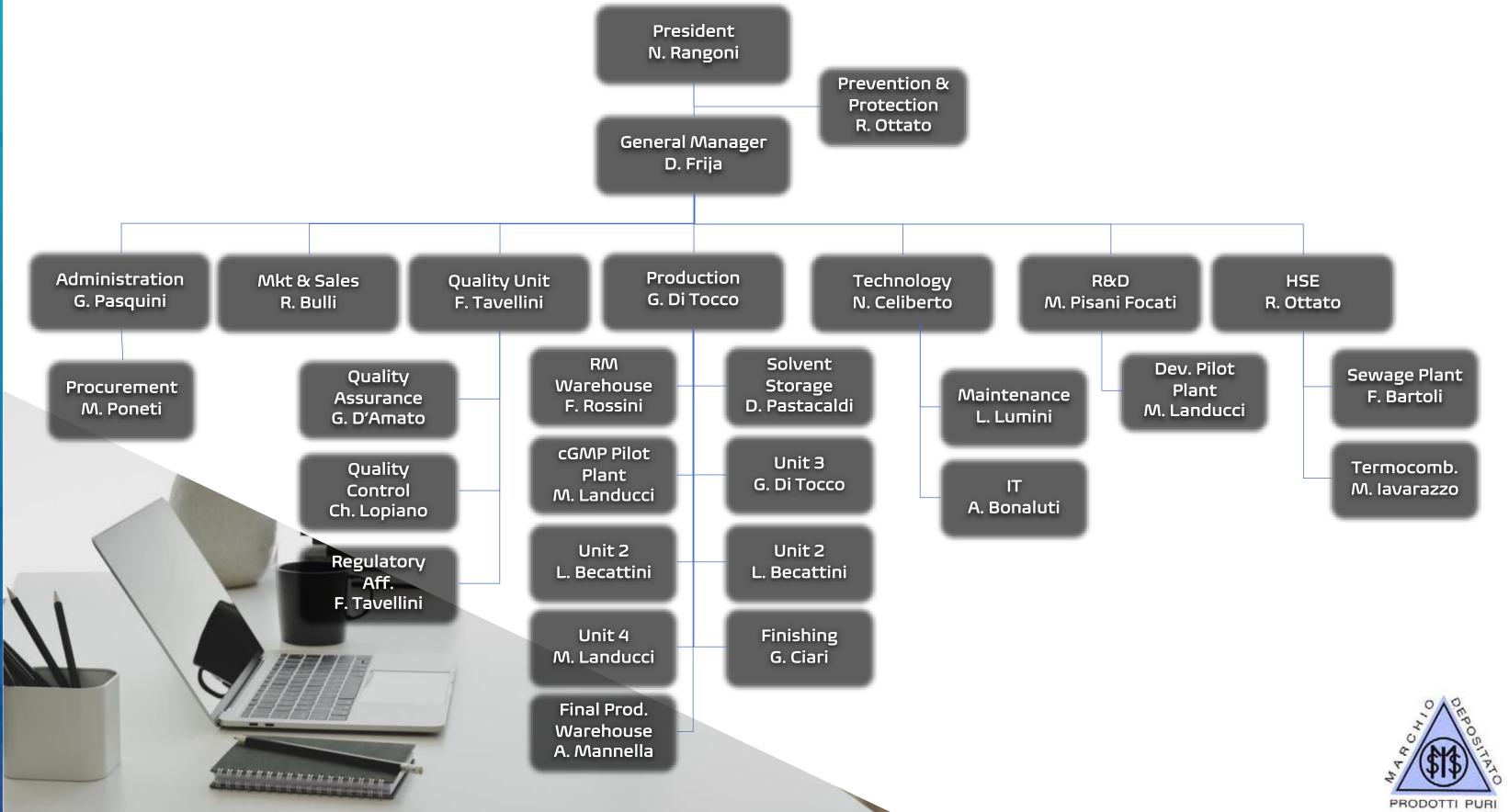
By Geography:

- Europe: 62%
- America: 9%
- ROW: 29%





ORG CHART



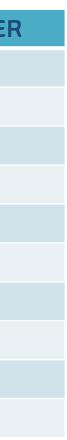
PEOPLE

105 Employees (15% with chemical degree)

DEPARTMENT	NUMBE
Sales & Marketing	З
Administration	5
Technical Office	2
EHS	2
Maintenance	8
Quality Control	10
Quality Assurance	З
Regulatory Affairs	З
Research & Development *	6
Production	68

* 5 graduated

Our Strength: Scientific Expert and Stable Staff





INSPECTIONS & CERTIFICATES LIST

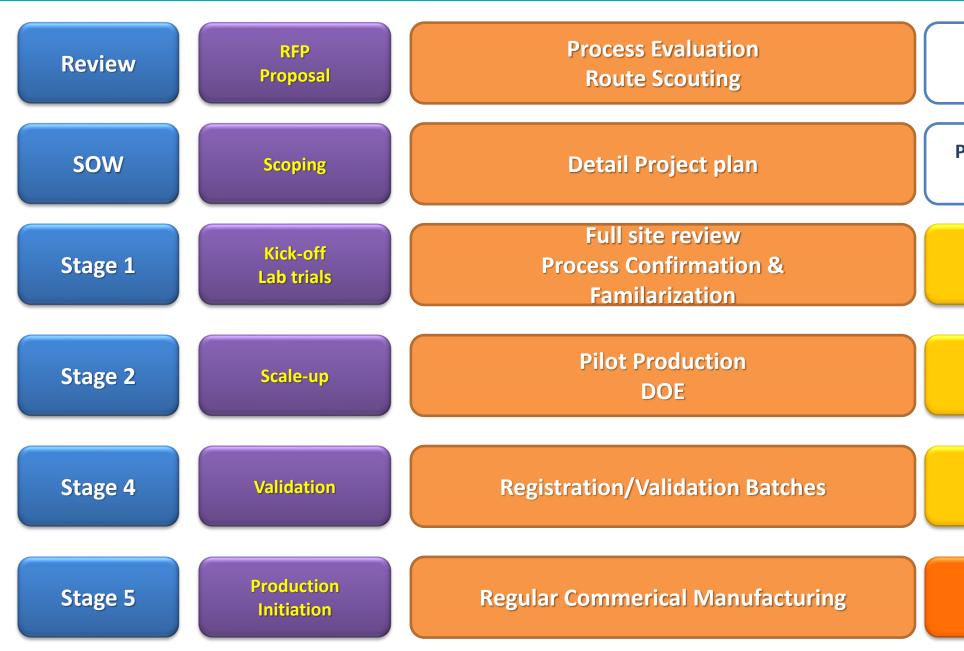
- Compliance with international cGMP
- With US FDA Inspections since 1970'
- AIFA March 2022
- **US FDA 2016**
- PMDA Japan 2020
- AIFA Veterinary 2019 •
- 20 Active DMFs/EDMFs
- Number in Regulatory team: 3 lacksquare
- Food Grade ingredients •
- Production of custom vitamin
- <u>Since 2017 Mutual Recognition Agreement</u> between US FDA and AIFA, Italy



Our Strength: Extensive Regulatory History and Injectable API & Anesthetics



CDMO Project Management Flow



Initial propsal 2-4 weeks

Project Order Agreement 2-4 weeks

> R&D Report Update COG

Initial batches PD Reprot Update COG

Product Delivery Validation Reports Final COG

Product Supply



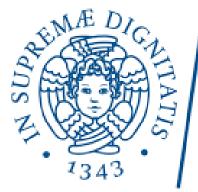
Strengths

- Route Scouting Our experience from generic API development serves to optimize COGS for Exclusive **Products and support API Life Cycle Management;**
- Raw Material evaluation Cost and Risk Analysis;
- "Supply Chain Safety Assessment" (SCSA)
- > <u>Hazardous Reactions or Energetic Chemistry</u>
- > Continuous Improvement
- > 280 m³ of Total Reactor Capacity in 3 plants

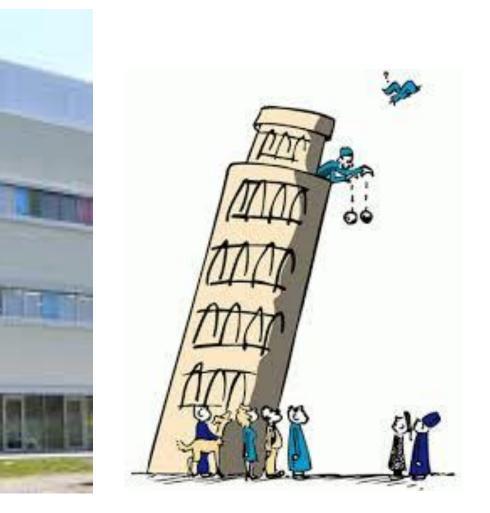
Our Strength: Hazardous Processes under GMP



SIMS collaborates with the Department of Chemistry of the University of Pisa for Research



Università di Pisa

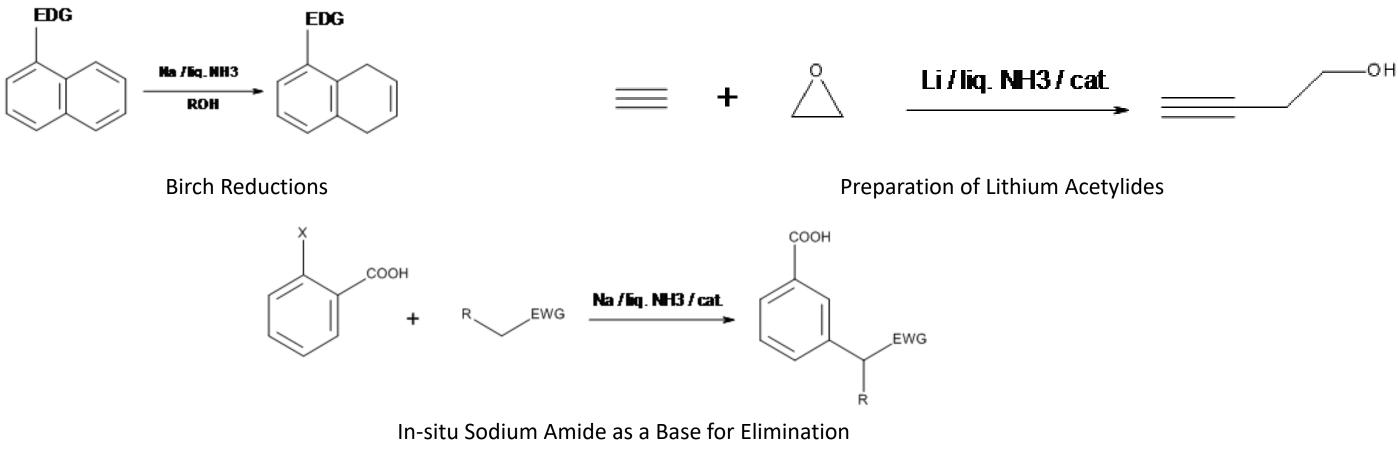




Hazardous Reactor Systems - HIGHLIGHTS

>Anhydrous Li or Na in liquid Ammonia

> 2 x 3500l and 1 x 4000l stainless steel reactors, liquid nitrogen cooling loop(-70°C to -80°C), ammonia recovery system, dual valve funnel for loading of the alkali metal, nitrogen or argon blanketing, Acetylene/Ethylene Oxide

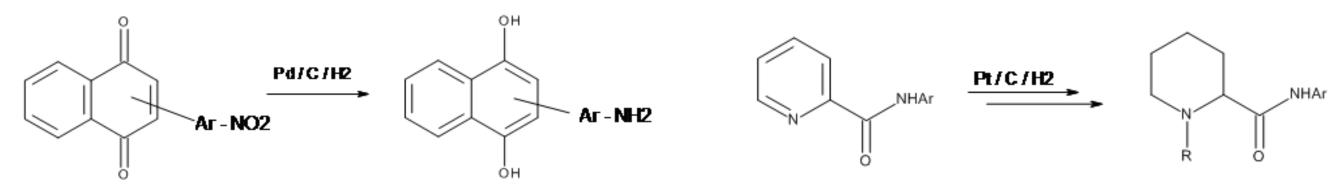




Hazardous Reactor Systems - HIGHLIGHTS

Catalytic Hydrogenations

> 1 x 2600 L stainless steel reactor, maximum pressure of 10 bar, possibility to utilize other gases like CO



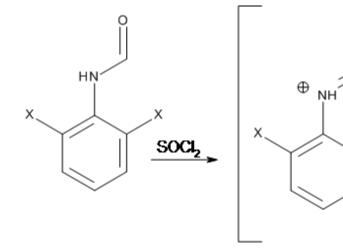
Palladium Catalyzed Reduction of functional groups

Platinum catalyzed Saturation of heteroaromatic Nuclei



Hazardous Reactor Systems - HIGHLIGHTS

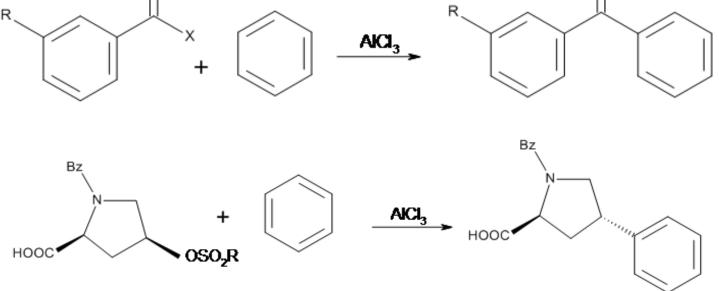
Vilsmeier-type
1 x 3000l Glass-lined reactor

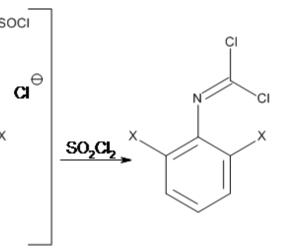


Vilsmeier - type adduct

>Friedel-Crafts

> 1 x 2000l glass-lined reactor with Aluminum dosing system





API intermediate



Hazardous Reactor Systems - HIGHLIGHTS

>Cyanations

➤ 1 x 1600l glass-lined reactor (working volume range 300 L - 1300 L) with dedicated aqueous hypochlorite scrubber

>Oxidations: Hydrogen Peroxide – NaClO / TEMPO > 800 Oxide prep tank, 4000 glass-lined reactor

>Mild Nitrations

> 1 x 1300 L glass lined reactor, collector lines for the emissions to avoid nitric oxides mixing with organic solvents

R -ONO2

conc. HNO3 / conc. H2SO4 R-OF



Technologies Large Chemical Toolbox Including

>Acylations: Schotten-Baumann, Friedel-Craft > Halogenations: Bromine, Phospho-Chlorinated Derivatives, Thionyl Chloride

Distillation 20 TP, Thin layer evaporation

> Many reactors have distillation units for product and solvent purification > Reductions: NaBH₄ Birch > Handling of Grignard Reagents



Special Reagents

- > DMSO₄
- Benzene
- > Carbon Monoxide Possible
- Gaseous Hydrogen Chloride
- > N-, O- Alkylation
- > Biomass Extraction

Our Strength: Wide Range of Chemical Processes under GMP





R&D: Synthesis and Analytical Development

Synthesis:

- Route Scouting
- Process R&D
- Crystalline form screening
- Cooperation with University of Pisa

Analytical Development:

- HPLC
- GC
- IR
- UV
- Titration
- Polarimeters
- Granulometer
- Residual Humidity Ovens
- Melting Point
- NMR External
- MSGC External

Our Strength: Expertise in developing cost competitive processes

s er midity Ovens nt al nal



Our strength, seasoned and highly experienced plant manager and operators handling Energetic Reactions and our toolbox Day-by-day.





<u>UNIT 1:</u>

<u>Pilot Plant / small manufacturing Plant</u>

- GL reactors 300 800 L
- SS reactors 150 1500 L
- Centrifuges: Hastelloy (300L), Stainless Steel (300L)
- Distillation unit with 20 theoretical plates
- Vacuum Tray Dryer (20 50 kgs batch size)

<u>Utilities</u> -5° C - + 150°C Reaction Pressure: 1 bar Equipment Control PLC local Deionized Water





UNIT 2: Production

- Glass lined reactors 800 4000 L _
- Stainless Steel reactors 1000 6000 L
- Centrifuges: Stainless Steel (300L, 600L) -
- Vacuum Pressure Filter 2000L
- Liquid/Liquid counter current extractor -

Utilities <u>-40° C to 150°C</u> Reaction Pressure to <u>6 bar</u> **Centralized Solvent Distributor Equipment Control PLC local Deionized Water**





UNIT 3: Production

- Glass lined reactors 1200 7600 L
- Stainless Steel reactors 400 7000 L
- Centrifuges: Stainless Steel (300L, 600L)
- Vacuum Pressure Filters 1300L, 2600L
- Distillation Unit upto 250°C -
- Thin Film Evaporator

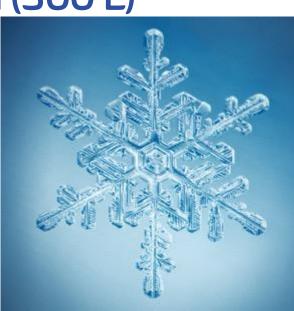
Utilities -5° C to 250°C Reaction Pressure to 6 bar **Centralized Solvent Distributor Equipment Control PLC local Deionized Water**





Unit 4: Production

- liquid NH₃ (-80°C), Hydrogenation (10 bar)
- Cyanide, Sodium or metallic,
- Ethylene Oxide, Acetylene
- Glass Lined reactor 2000 L
- Stainless Steel reactor 4000 L
- Centrifuge: Halar (600L)
- Centrifuge Stainless Steel (300 L)



Utilities -70-80° C to + 150°C **Deionized Water**



Reaction Pressure: -1 to 6 bar **Centralized Solvent Distributor Equipment Control PLC local**



API Finishing Plant

Inox line Glass lined, Teflon lined and anticorrosive steel line Solvent recovery Automated Warehouse <u>Purified Water</u>

Equipment	Number	Volum
Glass lined vessels	2	6300 L
Stainless Steel vessels	2	6300 L
Hastelloy Centrifuge	1	1000 L
Horizontal Stainless Steel Centrifuge	2	500 L,
Turbodry Vaccum Dryer	2	3000 L
Distillation Column Stainless Steel for Rectification	1	6300 L
Distillation Column GL for Rectification	1	6300 L



е	
-	
-	
-	
1000 L	
-	
-	
	1

Drying Plant

- 2 Turbodry Vacuum Dryer 2000 L
- 2 Rotating Vacuum Dryer 500
- Class 100,000
- low bioburden for injectable API



<u>Utilities</u> 20° C to 150°C Reaction Pressure: 1 bar Deionized Water



Solvent Handling

- **Methylene Chloride**
- 1,2 Dichlorethane
- Chloroform
- Pure Ethanol (nondenatured)
- Methanol
- Isopropanol
- Cyclohexane •
- Acetone
- Xylene
- Toluene
- Benzene
- DMF

Methyl Isobutyl Ketone Solvent storage tanks: 3 – 30 m³

Purified Water Loop

Capacity 3500 L / hour Conductivity (20°C) <1.1 µS/cm Bioburden <100 UFC/ml **TOC < 500 ppb**

Demineralized Water

Our Strength: Solvent Recovery







Quality Control

- 10 Analysts
- 4 HPLC
- 4 GC
- 1 IR
- 1 UV
- 2 Titration
- 1 Polarimeter
- 1 Granulometer
- 3 Residual Humidity Ovens
- 2 Melting Point
- 2 Stability Chambers
- Data integrity System





Environment Health & Safety

- EH&S has represented a high priority at SIMS since the '70s
- ✓ First Process Water Treatment plant within the Italian industry (1974)
- ✓ Incineration Unit for liquids and gases including production steam (1996)
- Cryogenic system to treat chlorinated solvents
 - Reutilized as inert gas supply

Health&Safety
Integral part of process development system
Continuous safety training





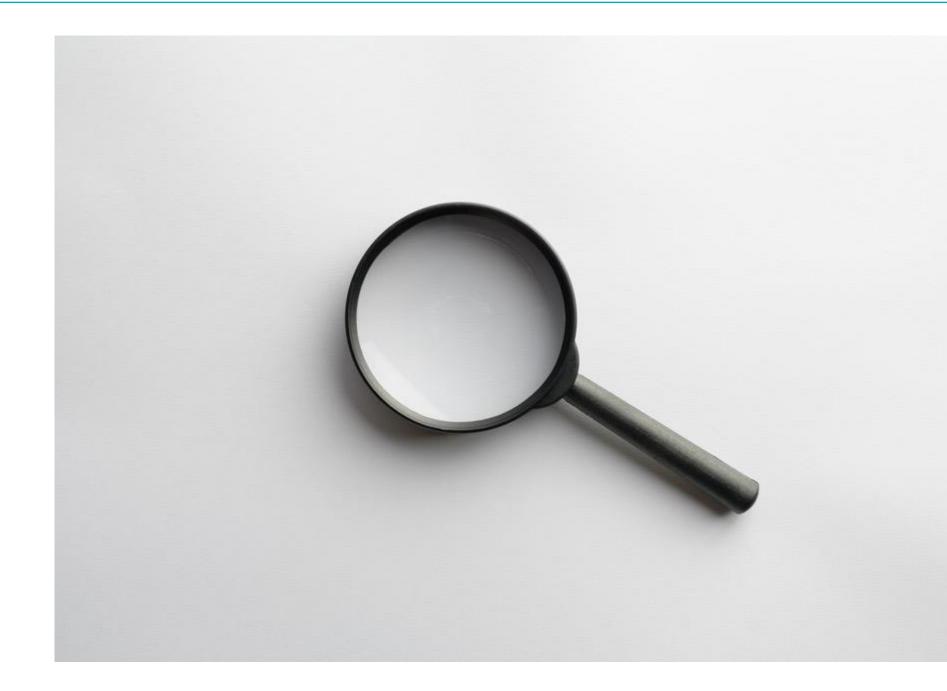


We are looking forward to a journey with you!



Contact Us Riccardo Bulli Phone: +39 055 863051 Email: <u>sims@simsitaly.it</u> Web: <u>www.simsitaly.it</u> Joseph Tessier – BD Consulting Phone +420 603 528790

BACKUP SLIDES – MORE DETAILS





ATTACHMENT 1: Commercial APIs

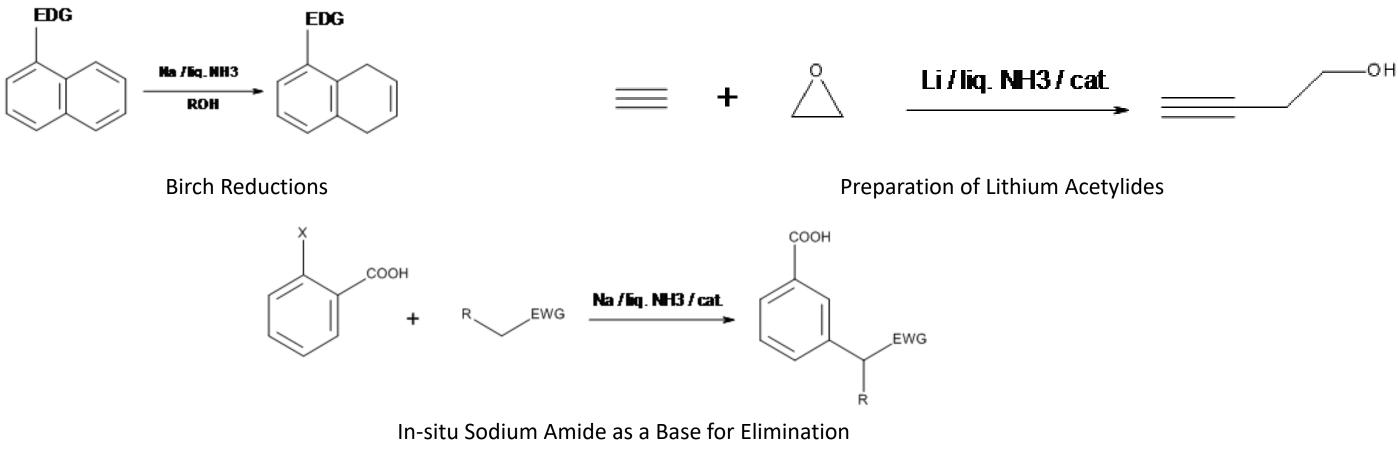
API	Therapeutic class	E-DMF	CEP	US DMF
Articain HCl	Dental anaesthetic	\checkmark	\checkmark	
Bupivacain HCL	Local anaesthetic	\checkmark	\checkmark	\checkmark
Lidocain Base	Local anaesthetic	\checkmark	\checkmark	\checkmark
Lidocain HCl	Local anaesthetic	\checkmark	\checkmark	\checkmark
Mepivacaine HCl	Local anaesthetic	\checkmark	\checkmark	
Xibornol	Local infection and inflammation treatment	\checkmark		
Ketoprofen	Anti arthritis	\checkmark	\checkmark	\checkmark
Ketoprofen Lysine salt	Anti arthritis	\checkmark		
Clonidine Base	Arterial hypertony	\checkmark		\checkmark
Clonidine HCl	Arterial hypertony	\checkmark		\checkmark
Dipyridamole	Antithrombotic		\checkmark	\checkmark
Ticlopidine HCl	Antithrombotic	\checkmark	\checkmark	
Disodium Clodronate tetrahydrate	Anti-osteoporotic	\checkmark		
Gemfibrozil	Lipid lowerer	\checkmark		\checkmark
Metoprolol Tartrate	Blood pressure lowerer	\checkmark	\checkmark	\checkmark
Propanolol HCl	Arterial hypertony	\checkmark		\checkmark
Tetrahydrozoline HCl	Ophthalmic	\checkmark		\checkmark



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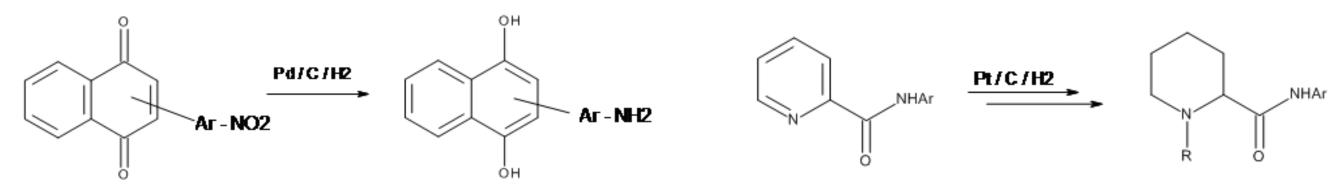




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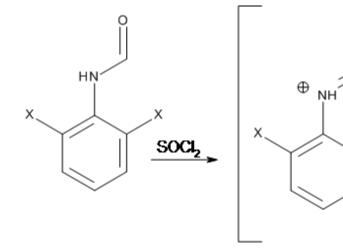
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Hazardous Reactor Systems - HIGHLIGHTS

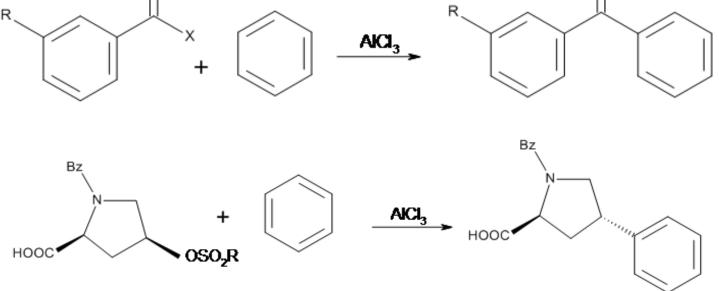
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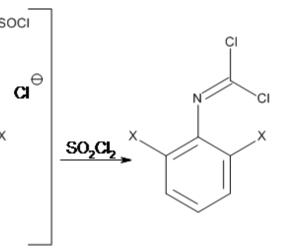


Vilsmeier - type adduct

>Friedel-Crafts

> 1 x 2000l glass-lined reactor with Aluminum dosing system





API intermediate



Case Study I – GMP Advanced Intermediate

Transferred from non-GMP Asian Vendor

Information from the customer

Pilot plant scale process, five step sequence with four purification steps:

1st step: preparation of the first RSM intermediate – Aldolic condensation Required two purifications: distillation on thin layer evaporator followed by rectification

2nd: step: Functional group protection (not isolated intermediate)

3rd step: Coupling reaction based on the utilization of a Grignard reagent (not isolated intermediate)

4th step: Functional group deprotection (not isolated intermediate)

5th step: Oxidation reaction with stoichiometric excess of oxidant and waste (crude final product)

Two purifications by crystallization to obtain the final product

Analytical methods for RMs or IPCs were not well defined

No safety data regarding



Case Study I – GMP Advanced Intermediate

Transferred from non-GMP Asian Vendor

Activities

Activity 1 - Preliminary assessment and proof of concept of the synthesis route on a laboratory scale, analytical method development

Activity 2 - Plant scale manufacturing of the first synthesis intermediate and characterization of its impurity profile as a key step for the feasibility

Activity 3 - Pilot plant scale trial of the final product to test process changes to overcome some difficulties observed during laboratory experimentation.

Activity 4 Plant scale production of three validation batches



Case Study I – GMP Advanced Intermediate

Transferred from non-GMP Asian Vendor

Achievements

- ✓ Successful transfer of the distillation for the purification of the starting material (key technology)
- ✓ Leaner overall process thanks to the improvement of reactions work-up
- ✓ Development of a proper analysis method for the starting material
- \checkmark Increase of the yield of the last synthesis step following the substitution of the stoichiometric oxidant-based oxidation (excess reagent, low reproducibility, low yield, large amount of waste) with a more friendly catalytic system based on the utilization of a cheaper oxidant.
- \checkmark Improvement of the impurity profile of the final product.



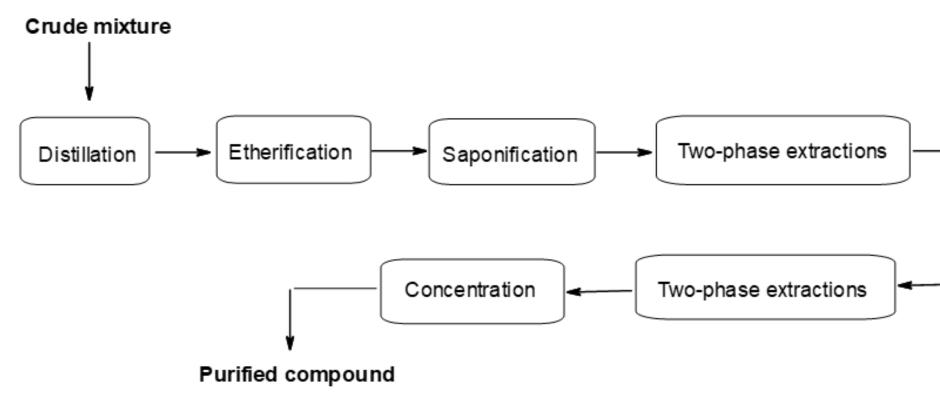
Case Study II – GMP Advanced Intermediate

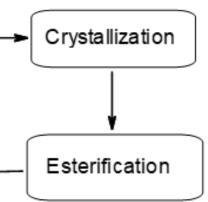
API intermediate to be recovered from a complex mixture of related by-products

No technical package available

Description:

- Chemistry etherification, saponification, purification steps (distillation, precipitation, two-phase extraction) \bullet
- three reaction steps and several different purifications Process \bullet
- Block diagram of the process \bullet







Case Study II – GMP Advanced Intermediate

API intermediate to be recovered from a complex mixture of related by-products

Activities and timing

Laboratory activity Identification of all the critical impurities of the material to be recovered and search for impurity-tailored treatments to obtain a proper quality product. Development of a general effectiveness purification process.

Non GMP plant activity Scale-up of the laboratory method on the pilot plant

Plant activity Plant scale manufacturing of three validation batches of the intermediate.



Case Study II – GMP Advanced Intermediate

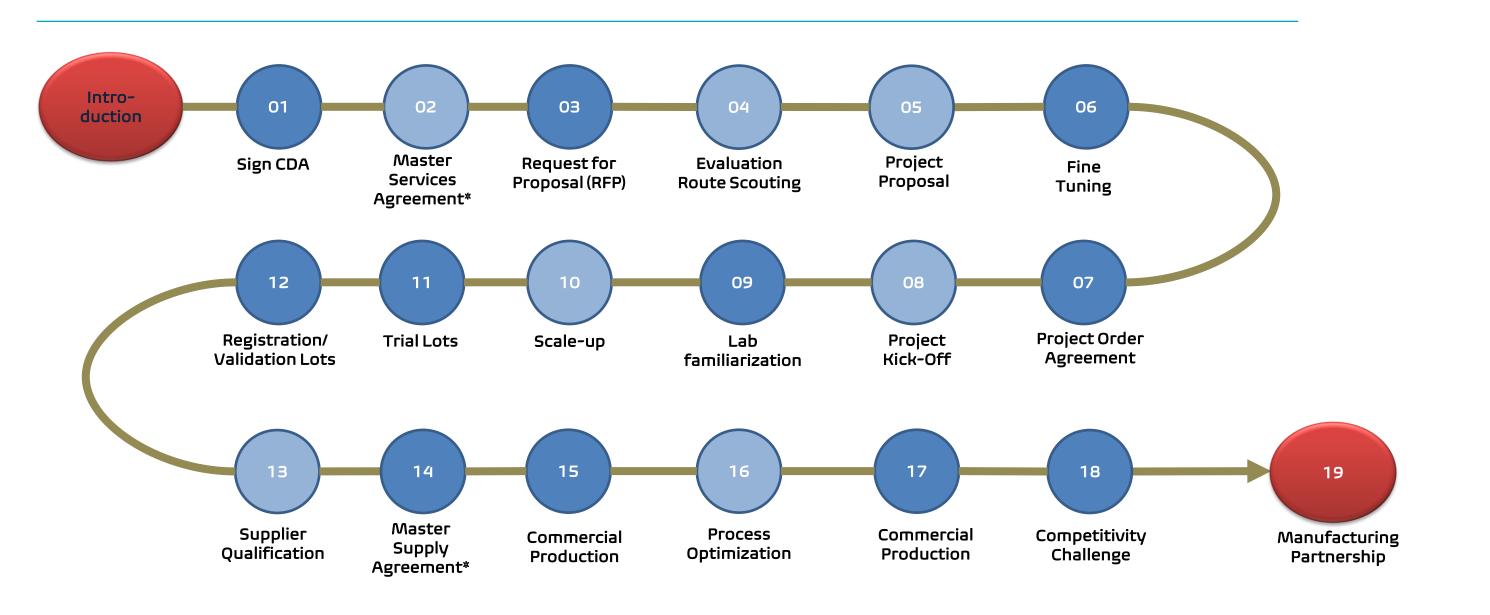
API intermediate to be recovered from a complex mixture of related by-products

Achievements

- > Development of a recovery procedure of general effectiveness based on impurity-specific treatments.
- Characterization & definition of the main critical impurities of the material
- GMP manufacturing process for the recovery on a plant-scale
- Quality & Regulatory documentation to be put into customer's ASMF.



ATTACHMENT 1: CDMO Business Process



*) Master Services Agreements and Master Supply Agreements may be optional, while they are more and more requested by our clients.

PRODOTTI PURI

